

MAIN AGREEMENT

concluded by and between

Interactive Brokers Central Europe Zrt. (registered office: 1075 Budapest, Madách Imre út 13-14.; company registration number: 01-10-141029), as Contractor (hereinafter referred to as **Company**),

and

name:

home address:

personal ID No.

account number:

tax code:

as client (hereinafter referred to as **Client**),

(the Company and the Client hereinafter jointly referred to as **Parties**) at the place and date, and under the terms and conditions specified below:

The content of transactions between the Parties is determined by the Company's General Business Rules, the announcements and other notices specified therein (the "Business Rules"), and this Agreement. In matters not regulated in this Agreement, the provisions of the General Business Rules shall apply without a separate stipulation to that effect. With respect to the discrepancy between the General Business Rules and this Agreement, this Agreement shall prevail, provided that the provisions of the General Business Rules shall prevail in matters not covered by the discrepancy.

The Client is obliged to monitor the amendments to the General Business Rules and the Announcements and to get acquainted with the provisions of the General Business Rules. The Client shall be solely liable for damages resulting from the Client's failure to comply with this obligation.

The terms used in capital letters in this Agreement shall be the same as those used in the General Business Rules, unless otherwise provided.

The Company does not provide investment, tax, or commercial advice, nor does it provide investment or financial analysis. The Company's service is the provision of the above-mentioned, primarily execution services, acting only on the instructions of the Client and does not provide advice in connection with any transaction. Accordingly, the Company's employees are not entitled to give personal advice or recommendations to the Client, however, they have an obligation to provide information regarding information about the products, including the terms of performance. The Client is responsible for their own assessment of the information received during the fulfilment of this information obligation.

The Client acknowledges that the risk of transactions to be executed on the capital market on the basis of their order cannot be ruled out, only limited. The Company informs the Client about the possible risks arising from the conclusion of specific transactions through its website in accordance with the legislation.

By concluding the Client Agreement, the Client certifies that the Company has complied with its obligation to provide information on risks.

1. Subject matter of the Main Agreement

- 1.1. The Main Agreement, typically with reference to the General Business Rules, specifies:
 - a) the order of services, operations related to the Account, and the related rights and obligations of the Parties;
 - b) the basic provisions for the provision of investment services and ancillary services related to all financial assets by the Company and their use by the Client with the proviso that for some services/types of transactions, the provision of the service requires the existence of additional contracts (e.g. standardised futures);
 - c) the rules according to which the Company may enter into contracts for financial assets in its own name, and for the benefit of the Client on the basis of Orders given by the Client, and accepted by the Company or enter into an Own Account Transaction with the Client, as well as the rights, and obligations of the Parties related thereto;
 - d) the rules for the Company to receive orders placed by the Client on the electronic interface and to execute them after a successful margin check.
- 1.2. Pursuant to the Main Agreement, and the provisions of other agreements relating to the specific transactions, the Company:
 - a) opens and maintains an Account for the Client specified in the General Business Rules;
 - b) receives and transmits Orders and executes Orders for the benefit of the Client;
 - c) provides appropriate services and performs account operations for the Client's cash, and financial assets registered on the Account in accordance with the Client's instructions, the provisions of the General Business Rules, or the authorisation of legislation;
 - d) may provide margin loans;
 - e) may engage in securities lending activities.

The Account shall be opened and maintained in accordance with this Main Agreement.

2. Electronic communication

- 2.1. Access to the Account, and the submission of Orders are provided by the IB Account Interface.

The primary contact between the Parties will be electronic, on the Company's website, and via the IB Account Interface.

The IT conditions required for using the IB Account Interface are indicated on the Company's website. The Company is entitled unilaterally to define, modify and limit the range of financial assets, types of transactions, available trading and execution venues for which it accepts specific orders.
- 2.2. The Parties consider the person who has logged in to the IB Account Interface with the User Data to be the authorized representative of the Client - thus the person entitled to dispose of the Account. The Company excludes its liability for damages arising from the unauthorised or illegitimate provision of the User Data provided through the IB Account Interface, and incurred by the Client or in its interest.
- 2.3. The Company does not guarantee 100% availability of the IB Account Interface. In addition to its own technology, the Company uses third-party manufacturers, and telecommunications services. The Company shall not be

liable for damages resulting from network failures or, if the service is not available to the Client for any reason, for such losses. The Company's liability does not extend to damages due to an error not attributable to the Company.

Computer-based systems and electronic services that are used by the Company are inherently vulnerable to interruptions, delays or failures. In addition to the IB Account Interface, the Company does not provide alternative ordering routes (e.g. personal appearance, telephone). Subject to its resources, the Company assures its clients that in the event of a failure of the IB Account Interface, during the trading hours of the trading venue, they can place their immediately executable closing orders by telephone in accordance with the conditions for electronically submitted orders.

The Client must provide alternative commercial contracts for the execution of orders in the event that the Company's electronic services are not available. By entering into a Client Agreement, the Client declares that it maintains alternative trading arrangements.

- 2.4. The Company fulfils its information obligation primarily by publishing the information specified in the legislation on the Company's website. In this regard
- a) the Client declares having regular Internet access,
 - b) the Client declares having chosen this form of information,
 - c) the Client declares that they have notified the Company electronically of the address of their website and have indicated exactly in which part of the website the specific information is available,
 - d) the Company shall ensure that the information displayed on the website is up-to-date and available to the Client at all times for as long as the Client may need to know.

- 2.5. In their communication with each other, the Parties shall use primarily English and, secondarily, Hungarian. The Company may, at its sole discretion, make its services available also in other languages.

The Company also makes certain interfaces of the IB Account Interface available in Hungarian, makes the basic information about the Company available on its website in Hungarian, as well as these General Business Rules, and the Client Agreement. For those Clients who explicitly request this, the Hungarian language contract will prevail instead of English.

In cases specified by legislation, the Company uses Hungarian instead of English when communicating with the Client and concluding contracts, if requested by the Client. Documents in English are applicable in cases where the use of Hungarian is not mandatory in the absence of a legal provision, however, the Company may make these documents available also in other languages.

The Client acknowledges that descriptions, and disclaimers limiting legal liability relating to risks, and products provided by third parties are published in the original language. The Company may decide to translate such documents into additional languages, but disclaims any responsibility for any errors, or omissions in the translations.

This section does not prevent the Client from using the Hungarian language during complaint handling.

3. Opening, and managing client accounts, and securities accounts

- 3.1. By signing this Agreement, the Client instructs the Company to open, and manage an Account for a fee.
- 3.2. The Company records on the Account the Client's cash position, securities portfolio, their changes, the Client's sale and purchase orders, as well as their settlement. The Company undertakes to collect the interest, dividends, and yields paid on the financial asset belonging to the Client under its management, and to credit the amounts due to the Client under other items.
- 3.3. By signing this Main Agreement, the Client agrees that the Company may use sub-custodians without separate notice to the Client.

4. The Order

- 4.1. The Company undertakes that if the Client gives an Order, it will try to execute it in accordance with the provisions of the General Business Rules, or forward it for execution. The Company accepts orders from the Client only on the IB Account Interface or in cases listed in the General Business Rules by recorded telephone calls, in the manner specified in the General Business Rules.
- 4.2. The Client authorises the Company to participate in order matching schemes with entities outside its own group as part of its own account activities, the purpose or effect of which is to carry out de facto risk-free back-to-back transactions in financial assets outside the trading venue.
- 4.3. The Order shall be accepted by the Company if all the information necessary for the execution of the order is provided by the Client, and if the funds or financial assets necessary for the execution of the order are available. The Parties stipulate that if the free funds or financial assets in the Client's Accounts are not sufficient to execute the debits due, the Company is entitled to suspend the execution of the Order until the collateral is provided. The Company is not liable for damages resulting from the suspension.
- 4.4. The Company executes the order in accordance with its Execution and Allocation Policy, provided that the execution and settlement of orders is also governed by the policies of the relevant trading venue, the relevant clearing house regulations and the standards of the given market. The Client concedes and acknowledges that they have got to know the content of the Policy. The Client is obliged to monitor changes in the content of the Policy.
- 4.5. In the event that the Company has concluded a transaction in favour of the Client that does not comply with the provisions of the Order, the Client may object within thirty days from the notification of the confirmation of the execution of the order. If the Client has not exercised this right, he may not subsequently invoke the invalidity of the transaction or the reprehensible conduct of the Company.
- 4.6. The Company informs the Client that the general right of abandoning consumer contracts does not apply to the concluded transactions, as the conclusion of the transactions is aimed at financial assets according to the Bszt. (Investment Firms Act).

5. Fees, collateral

- 5.1. In the cases specified in the Terms and Conditions, the Client is obliged to pay the Company the fee specified therein and other costs incurred in connection with the execution. The fees payable to the Company will become due as set out in the Terms and Conditions, with which the Company will automatically debit the Client's account without any further action. The Client declares that they understand and accept the fee items specified in the Terms and Conditions of the Company.

- 5.2. The Client agrees that the Company shall credit the financial asset purchased on the basis of the Order to the Account without any further provision, debit the Account with the purchase price, the commission fee due to the Company and other fees and charges charged to the Client. The Client agrees that, without any further provision, the Company shall debit the Account with the financial asset sold on the basis of the Order and the Own Account Transaction, as well as the commission and other fees and costs charged to the Client, and credit the sale price to the Account.
- 5.3. The Client establishes a collateral deposit for the benefit of the Company, in the manner specified in the General Business Rules, for assets and up to the amount.
- 5.4. The Company is not obliged to send a separate notice to the Client in the event of a lack of funds or a threat thereof.
- 5.5. If the Client has a negative balance, regardless of the amount of the debt, the Company is also entitled to cancel the Client's transfer orders in the currency of the debt, open, unexecuted normal purchase orders and orders for open, unexecuted margin loans and other financial assets, which also include a normal part of the purchase, not financed by credit, i.e. orders in which the securities are not purchased exclusively from credit.
- 5.6. If this Main Agreement is terminated by either party, the Company's right of satisfaction shall commence at the same time as the notice of termination, and the Company shall be entitled to seek compensation directly from the collateral deposit, regardless of the amount of the debt.

6. Liability

- 6.1. In the course of its activities, the Company acts with due diligence, taking into account the interests of the Client. Except as provided in this Main Agreement, applicable legislation and the General Business Rules, and in the event of a breach of contract by the Client, the Company shall not limit or exclude its liability for the performance of contracts (including in particular the Main Agreement and Orders).
- 6.2. The Company excludes its liability as follows:
 - a) if performance of any contract or Order becomes impossible for a reason for which the Company is not liable under the applicable legal regulations;
 - b) for damage caused by force majeure or for external events hindering the operation of the Company that cannot be prevented for the Company, in particular for damage resulting from a change in legislation or a fault in the data transmission network or an omission or fault of an external service provider;
 - c) for damage caused by a domestic or foreign official order or by the refusal or late granting of a permit;
 - d) for damages resulting from differences in the exercise and exercise of rights and obligations embodied in foreign financial assets;
 - e) for non-performance, if the proceedings of the Company are hindered by a legal dispute between the Client and a third party or the reprehensible conduct of a third party; the fee stipulated for the service is due to the Company in proportion to the performance, in this case as well.
 - f) for damage resulting from the Client's non-performance of its obligations.
- 6.3. The Company is not responsible for the practicability of specific orders or for the fact that financial assets can be sold or purchased in accordance with the conditions specified by the Client. The Company provides information about

financial assets to the best of its knowledge, but is not responsible for the fact that the exchange rate changes of individual financial assets do not correspond to the information or do not occur at all.

- 6.4. The Company shall not be liable for any loss incurred by the Client due to the fault of the credit institution or other organisation making the remittance or transfer, including the exchange rate loss due to the delay in the transfer. If the Company makes an erroneous transfer but later recognises it, it is entitled to restore the original condition. In this case, the Client may not make any further claims.
- 6.5. If the Client is in a serious breach of contract, the Company is entitled to terminate any contract with immediate effect or to abandon the contract, and to demand compensation from the Client for the damage caused by the breach of contract (including the actual damage incurred and any loss of profit, as well as any so-called non-pecuniary loss) and its justified costs. If the Client is in a serious breach of contract, the Client shall be liable for any resulting damage, unless the Company may have contributed to the damage, in which case the Parties shall be liable for the damage in proportion to their liability. If there is a delay in pecuniary obligation or in the obligation to deliver securities, the Company is entitled to statutory default interest on the amount affected by the delay or the market value of the securities concerned, even without a separate stipulation.
- 6.6. In all cases, the Client enters into transactions exclusively at their own risk, risks their money and financial assets on the capital market, and the Company is not obliged to share in the Client's losses. Accordingly, the Client has its own obligation and responsibility to monitor the development of its positions and to take the necessary loss mitigation measures in the event of unfavourable market conditions and in the event thereof.
- 6.7. The Company excludes liability for direct, indirect and consequential damages incurred by the Client due to compulsory liquidation carried out in accordance with the provisions of the Main Agreement and the General Business Rules. Furthermore, the Company shall not be liable for any direct, indirect or consequential damages resulting from the lawful total or partial blocking or use of the collateral deposit/pledged asset and/or the lawful immediate termination of certain contracts. The Company has the right and not the obligation of compulsory liquidation. The possibility of compulsory liquidation is also independent of the satisfaction of the collateral provided by the Client to the Company. Accordingly, the Company excludes liability for damages resulting from the fact that it did not exercise its right to compulsory liquidation or was not compulsorily liquidated (at any time) after the opening of this right, or that instead of exercising its right to compulsory liquidation, and the satisfaction sought from the collateral provided by the Client to the Company prior to that. If the Company decides to exercise its right to compulsory liquidation, it will necessarily take time during which the market prices will move due to the time-consuming and administrative nature of the processes: the Company excludes its liability for the resulting damages.
- 6.8. The Company shall not be liable for any damage that may be incurred by the Client if the Company exercises its right of satisfaction from collateral and in the process the assets used as collateral are sold at a realistic price available under the given market conditions.
- 6.9. Considering that in the case of transactions concluded in the electronic trading system, the transactions performed with the Client's identification data are in all cases the Client's valid transactions and legal declarations, the validity is not affected, if, using the identification data, an unauthorized

person has made a legal declaration binding on the Client: the Company excludes its liability in this regard, unless it is proved that the Company was aware of the illegitimate use of the Client's identification data, or should have known of it with the care required of it; however, in the latter case, the Company shall not be liable for damages incurred by the Client during the reasonable time required for the processes necessary for the action.

- 6.10. The Company shall not be liable for damages arising from the fact that the Company does not undertake any obligation to enter into a contract on the basis of this contract, pauses, suspends, terminates its services and limits them to certain access methods.
- 6.11. Even in the case of breach of contract, the Company shall be relieved of liability if it proves that the damage occurred in consequence of circumstances beyond its control and unforeseen when the contract was entered into, and there had been no reasonable cause to take action for preventing or mitigating the damage. As compensation, the Company is obliged to compensate only the damage caused in the subject of the service or the actual damage caused to the Client's property as a result of the breach of contract with the provision that the division of damages between the Client and the Company must always take into account the ratio of the imputability of the Client and the Company and the involvement of the Client or a third party in the occurrence of the damage. The Company expressly excludes its liability for lost profits and other components of compensation in addition to the actual loss of property.
- 6.12. The foregoing limitation of liability does not apply to the limitation or exclusion of liability for breach of contract intentionally caused by the Company and to the detriment of human life, physical integrity or health.

7. Miscellaneous provisions

- 7.1. By signing this Main Agreement, the Client acknowledges having read and understood the Company's General Business Rules, its annexes and the Announcements, as well as the description of the IB Account Interface, and acknowledges that they are binding on them.
- 7.2. The Client declares that being aware that past performance of financial assets does not guarantee future performance, whereas the price of a particular financial asset may be affected by a number of factors.
- 7.3. In the case of notifications and information sent by the Company, including information on amendments to the General Business Rules, Announcements, as well as individual periodic reports, unless the Client expressly objects within the relevant deadline, unless otherwise agreed, it means that by this silence, as by implied conduct, the contents of it have been accepted by the Client, together with its legal consequences.
- 7.4. This Main Agreement shall enter into force on the date of signature by the Parties and shall be concluded for an indefinite period. The relevant provisions of the General Business Rules shall apply in connection with the termination of the Main Agreement.
- 7.5. The Parties shall endeavour to settle disputes arising out of this Main Agreement primarily in an amicable manner. The Client may notify the Company of its objections, claims and complaints arising from this Main Agreement in accordance with the provisions of the Complaint Handling Policy. The Company will investigate the matter and make a decision within 30 days, of which the Client will be notified in writing. If the above procedure does not lead to a result, the Parties—if the Client does not qualify as a consumer—submit to the exclusive competence and jurisdiction of the

Commercial Court of Arbitration. The Commercial Court of Arbitration shall act in accordance with its own Rules of Procedure, in the Hungarian language. The Client is entitled to exclude the exclusive competence and jurisdiction of the Commercial Court of Arbitration with a unilateral declaration made at the time of concluding the agreement. If a Client qualifies as a consumer, the general rules of Act CXXX of 2016 on Civil Procedures (Civil Procedures Act, Pp.) shall apply.

- 7.6. The Parties declare that this Main Agreement and each specific contract fully incorporate the agreements concluded between the Parties. The Parties do not wish to incorporate into the content of the Main Agreement the content of any previous agreements and practices developed under those agreements, and a custom widely known and regularly used in the investment and capital markets and in the money market business by subjects of similar contracts.
- 7.7. This Main Agreement may be amended by mutual consent of the Parties at any time. The Parties further acknowledge that the Company is entitled to unilaterally amend the Main Agreement and its annexes in accordance with the provisions of its current General Business Rules, with the conditions and restrictions described therein. If the Client does not wish to maintain the contractual relationship with the Company in addition to the changed content of the Main Agreement, they may exercise the right of termination in accordance with the provisions of this Main Agreement and the General Business Rules.
- 7.8. By signing this Main Agreement, the Client acknowledges that the Company, prior to concluding the Agreement, (i) informed them of the investor protection provisions applicable to them; (ii) the Company has fully complied with its obligation to provide prior information to the Client specified in the Bszt. (Investment Firms Act), and the Client enters into this Main Agreement and the specific contracts and transactions to be concluded on the basis of this Main Agreement in the knowledge of the capital market risks, and confirms this in a separate Risk Disclosure Declaration annexed to the General Business Rules and (iii) the Company has fully complied with its obligation to provide prior information to the Client under Act CXXII of 2011 on the central credit information system (CCIS Act), as defined in the relevant notice.
- 7.9. By signing this Main Agreement, the Client agrees that the Company may place money in a money market fund in accordance with Regulation (EU) 2017/1131 of the European Parliament and the Council on Money Market Funds of 14 June 2017 (EU).
- 7.10. By signing this Main Agreement, the Client acknowledges that the Company provided complete information on the handling and use of their personal data in accordance with the relevant provisions of the General Data Protection Regulation of the European Union and the Personal Data Protection Act prior to concluding the Main Agreement.
- 7.11. In matters not regulated in this Main Agreement, the provisions of the Company's General Business Rules in force at any time, the Bszt. (Investment Firms Act) and Act V of 2013 on the Civil Code (Ptk. or Civil Code) and other relevant legal regulations shall apply.

This Main Agreement shall be signed by the Parties in accordance with their will in all respects.

Budapest, day month year

ANNEXES:

Annex No. 1 Identification form and declarations:

- 1.1. Natural person clients
- 1.2. Legal entity clients
- 1.3. Authorised related persons
- 1.4. Related persons authorised for representation
- 1.5. Beneficial owner related persons (in case of personal identification)
- 1.6. Beneficial owner related persons (in case of return by post)

Annex No. 2 Information on the form of notifications

Annex No. 3 Preliminary information

Annex No. 4 MiFID declaration of consent

Annex No. 5 Compliance and Aptitude Test; Target market questionnaire

Annex No. 1 Identification form and declarations:

1.1 Natural person clients

IDENTIFICATION FORM Natural person client's data	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Postal address:	
Place of birth (country, town):	
Date of birth:	
Mother's maiden name	
Number and type of identification documents, issuing country	
Tax identification code:	
Phone number:	
E-mail address:	
Occupation:	
Economic sector:	

Source of liquid assets:	
Investor protection rating¹:	
Bank account number:	

I, the undersigned, (client number) being aware of my criminal liability,
 declare and prove with my signature that

- the above data and statements are true, I am aware that I am obliged to notify the Company of any change in the data during the existence of the business relationship in accordance with Act LIII of 2017 on the Prevention and Combatting of Money Laundering and Terrorist Financing (hereinafter referred to as Pmt. or AML Act), within 5 working days of the data change and becoming aware of it;
- I have voluntarily submitted to client identification by Interactive Brokers Central Europe Zrt. for the purpose of concluding contracts with the Company for the use of investment services and ancillary investment services; / I have voluntarily submitted to customer identification by Interactive Brokers Central Europe Zrt. in order to verify the accuracy of my data recorded with the Company and to reflect any changes in my data;
- I declare that the bank account numbers listed above are all identifiers of bank account accounts in my own name and I am aware that I can only transfer from my account number from Interactive Brokers Central Europe Zrt. to an account number in my own name;
- I have been informed, understand and agree that the policies, agreements and information of Interactive Brokers Central Europe Zrt. are available on the Company's website;
- I use the services provided by the Company in my own name, on my behalf and for my benefit. I declare that if I initiate any order in the name, for the benefit and for the benefit of a third party other than the declaration, I will inform the Company thereof in a written statement;
- I declare that pursuant to Section 4(1)-(5) of the AML Act²
 - I am not a politically exposed person (PEP);
 - I am a PEP;

My status as a PEP:

Start of my status as a PEP:/...../.....

End of my status as a PEP:/...../.....

Information on the source of funds:

I am a close relative or close associate of a PEP³.

Degree of kinship / Nature of relationship:

Information on the source of funds:

- OR I use the services provided by the Company on behalf of and for the benefit of another natural person below. I declare that if I initiate any order in

the name, for the benefit and for the benefit of a third party other than the declaration, I will inform the Company thereof in a written statement;

First name and family name:	
First name and family name at birth:	
Citizenship:	
Place and date of birth:	
Address, in the absence thereof place of residence:	

Pursuant to the provisions of Section 4(1)-(5) of the AML Act:

- not a PEP;
- a PEP;

Designation of the PEP

status:

Information on the source of funds:

close relative or close associate of a PEP⁴.

Degree of kinship / Nature of

relationship:

Attention! The beneficial owner of the natural person named in the declaration must check or complete the beneficial owner form, which must be returned to the Company along with a copy of their identity documents.

- I declare that I qualify as an American taxpayer, at the same time I complete the required declaration; / I do not qualify as a U.S. taxpayer (underline as appropriate). By submitting this declaration, I agree to promptly notify the Company in writing of any change in my US tax status. I acknowledge that under the said law, the Company is obliged to examine the FATCA status of the clients, and to transfer the data of clients qualified as U.S. taxpayers to the local tax authority (in order to comply with the reporting obligation to the American tax authority);
- Pursuant to Act XXXVII of 2013 on the introduction of CRS regulations, I declare that, with the exception of Hungary, I am not a tax resident in any of the countries that are signatories to the CRS Convention / I am a tax resident in the following CRS country (countries) (underline as appropriate)

CRS tax country:	
Tax code:	
CRS tax country:	
Tax code:	
CRS tax country:	
Tax code:	

By submitting this declaration, I agree to promptly notify the Company in writing of any change in my CRS tax status. Being fully aware of my criminal liability, I declare that the official documents proving my tax residence

presented at the same time as my declaration are authentic and may be used as documentary evidence required by law. I acknowledge that pursuant to Act XXXVII of 2013 on Certain Rules of International Administrative Co-operation in relation to Taxes and Other Public Charges (Aktv. or IAC Act), the Company is obliged to examine the tax residence (international tax status) of its clients and to transmit the data of clients classifying as non-resident taxpayers affected by the former legislation who are subject to CRS international taxation to the local tax authority in order to fulfil the reporting obligation to the tax authority of the country concerned.

■ **I also declare that**

“ **I Consent;**

“ **I Do Not Consent**

that my reference data (my personal identification data and contractual details of my loan agreements) transferred to the central credit information system under CCIS Act by IBCE will be available for another reference data provider in accordance with § 5(3) and paragraph 7(a) of that Act.

I acknowledge that giving this consent, my reference data of all my contracts reported to CCIS will be accessible thus as a consequence the reference data of my contract(s) granting loans, credits, financial lease, etc. will be available (transferable) to an other reference data provider.

- I also declare with my signature that the Company has provided clear and detailed information regarding the handling of my data on the customer data sheet, which also covered the provisions of the Privacy Notice and the data protection and data processing chapter of the General Business Rules. I declare that I expressly accept and confirm the contents thereof, and I also grant the Company the authorisations specified in the referenced chapter of the General Business Rules and in the Information on Privacy (available at www.interactivebrokers.hu, and the Company’s Customer Service).
- I acknowledge that I may request information on data processing in accordance with the provisions of the Privacy Notice, and I may initiate the correction or modification of my data, or the deletion of data processing with the exception of mandatory data processing, the blocking of data processing, and I may object to data processing in cases provided by law; I may submit a complaint regarding the handling of my data in accordance with the provisions of the Company’s Complaint Handling Policy, or to the Company’s data protection officer, to the Hungarian National Authority for Data Protection and Freedom of Information (1125 Budapest, Szilágyi Erzsébet fasor 22/c., www.naih.hu) or I can go to court.
- By signing these declarations, I declare that I have given my above authorizations voluntarily, in possession of proper information, and I acknowledge that my data will be processed in accordance with the provisions of the Privacy Notice.

Budapest,.....day month year

.....
Interactive Brokers Central Europe
Zrt.’s

.....
**administrator’s
signature**

The document was signed by the client in my physical presence.

<Client's signature>

Definitions:

¹ We would like to inform you that the Company follows a different investor protection procedure in case of different customer categories (retail, professional, eligible counterparty), taking into account the relevant legal regulations. Retail clients are entitled to the highest level of investor protection and eligible counterparties to the lowest. You may request in writing any change in the category in any direction, but this may result in a reduction in your investor protection rights. Further information (e.g. the basis for the rating, the conditions and consequences of the change) can be found in the Company's General Business Rules, which are available on the Company's website and will be provided upon request.

² **According to Act LIII of 2017, the following are considered to be politically exposed persons:**

4(1) For the purposes of this Act, "politically exposed person" shall mean a natural person who is entrusted with prominent public functions, or who has been entrusted with prominent public functions within one year before the implementation of customer due diligence measures.

(2) For the purposes of Subsection (1), "natural person who has been entrusted with prominent public functions" shall include:

a) heads of state, heads of government, ministers and deputy ministers, state secretaries, in Hungary the head of state, the prime minister, ministers and state secretaries;

b) members of Parliament or of similar legislative bodies, in Hungary members of Parliament and spokesmen for the nationality;

c) members of the governing bodies of political parties, in Hungary members and officers of the governing bodies of political parties;

d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, in Hungary members of the Constitutional Court, of the courts of appeal and the Curia;

e) members of courts of auditors or of the boards of central banks, in Hungary the President and Vice-President of the State Audit Office, members of the Monetary Council and the Financial Stability Board;

f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces, in Hungary the head of the central body of law enforcement bodies and organisations and his deputy, Chief of Staff of the Hungarian Army and Deputy Chiefs of Staff of the Hungarian Army;

g) members of the administrative, management or supervisory bodies of enterprises with majority state ownership, in Hungary the managing directors of enterprises with majority state ownership, members of the management body exercising control or supervisory rights of such enterprises;

h) directors, deputy directors and members of the board or equivalent function of an international organisation.

(3) For the purposes of this Act, "close relatives of politically exposed person" shall include the spouse or registered partner of a politically exposed person; the biological and adopted children, stepchildren and foster children, and their spouses or registered partners, of a politically exposed person; the biological, adoptive, step- and foster parents of a politically exposed person.

(4) For the purposes of this Act, persons known to be close associates of politically exposed persons shall include:

a) any natural person who is known to have joint beneficial ownership of legal entities or unincorporated organisations, or any other close business relations, with a person referred to in Subsection (2);

b) any natural person who has sole beneficial ownership of a legal entity or unincorporated organisation which is known to have been set up for the benefit of a person referred to in Subsection (2).

(5) The provisions of this act relating to politically exposed persons shall also apply to close relatives or persons known to be close associates of politically exposed persons.

3-4 **Section 8:1 of Act V of 2013 on the Civil Code**

Close relatives shall mean spouses, next of kin, adopted children, stepchildren, foster children, adoptive parents, stepparents, foster parents, and siblings; furthermore, close relative shall mean registered partners, spouses of the next of kin, spouse’s next of kin and siblings, and spouses of siblings;

1.2 Legal entity clients

IDENTIFICATION FORM Details of legal entity and individual entrepreneur clients	
Full name (indicating the company form):	
Official abbreviated name:	
Registered office:	
Company based in Hungary:	
Postal address:	
Core activities:	
Tax number:	
Company registration number/decision number, registration number:	
Name and code of the issuing country:	
Country of company registration:	
Date of company registration:	
Phone number:	
E-mail address:	
Investor protection rating¹:	
Bank account number:	
Name of the authorised representative:	
Position of the authorised representative:	
Details of the delivery agent (name, address, document number):	

I, the undersigned, (client number) being aware of my criminal liability,

declare and prove with my signature that

- the above data and statements are true, I am aware that I am obliged to notify the Company of any change in the data during the existence of the business relationship in accordance with Act LIII of 2017 on the Prevention and Combatting of Money Laundering and Terrorist Financing (hereinafter referred to as (hereinafter referred to as Pmt. or AML Act), within 5 working days of the data change and becoming aware of it;
- I have voluntarily submitted to client identification by Interactive Brokers Central Europe Zrt. for the purpose of concluding contracts with the Company for the use of investment and ancillary investment services; / I have voluntarily submitted to customer identification by Interactive Brokers Central Europe Zrt. in order to verify the accuracy of my data recorded with the Company and to reflect any changes in my data;
- I declare that the bank account numbers listed above are all identifiers of bank account accounts in my own name and I am aware that I can only transfer my from account number from Interactive Brokers Central Europe Zrt. to an account number in my own name;
- I have been informed, understand and agree that the policies, agreements and information of Interactive Brokers Central Europe Zrt. are available on the Company’s website;
- I use the services provided by the Company on behalf of and for the benefit of the following beneficial owner(s):

Beneficial owner No. 1

First name and family name:	
First name and family name at birth:	
Place and date of birth:	
Citizenship:	
Address, in the absence thereof place of residence:	
Nature of ownership interest:	
Ratio of ownership interest:	

Pursuant to the provisions of Section 4(1)-(5) of the AML Act²:

- not a PEP;
- a PEP;

Designation of the PEP

status:

Information on the source of funds:

close relative or close associate of a PEP₃. Definition of the PEP

status:

Degree of kinship / Nature of relationship:

Beneficial owner No. 2

First name and family name:	
First name and family name at birth:	
Place and date of birth:	
Citizenship:	
Address, in the absence thereof place of residence:	
Nature of ownership interest:	
Ratio of ownership interest:	

Pursuant to the provisions of Section 4(1)-(5) of the AML Act₂:

- not a PEP;
- a PEP;

Designation of the PEP status:

Information on the source of funds:

close relative or close associate of a PEP₃:

Definition of the PEP status:

Degree of kinship / Nature of relationship:

Beneficial owner No. 3

First name and family name:	
First name and family name at birth:	
Place and date of birth:	
Citizenship:	
Address, in the absence thereof place of residence:	
Nature of ownership interest:	
Ratio of ownership interest:	

Pursuant to the provisions of Section 4(1)-(5) of the AML Act₂:

not a PEP;

a PEP;

Designation of the PEP

status:

Information on the source of funds:

close relative or close associate of a PEP³. Definition of the PEP

status:

Degree of kinship / Nature of relationship:

Beneficial owner No. 4

First name and family name:	
First name and family name at birth:	
Place and date of birth:	
Citizenship:	
Address, in the absence thereof place of residence:	
Nature of ownership interest:	
Ratio of ownership interest:	

Pursuant to the provisions of Section 4(1)-(5) of the AML Act²:

not a PEP;

a PEP;

Designation of the PEP

status:

Information on the source of funds:

close relative or close associate of a PEP³. Definition of the PEP

status:

Degree of kinship / Nature of relationship:

Attention! The beneficial owner of the natural person named in the declaration must check or complete the beneficial owner form, which must be returned to the Company along with a copy of their identity documents.

- In accordance with Act XIX of 2014 on the Introduction of FATCA Regulations, I declare that the legal entity I represent qualifies as a U.S. taxpayer under the U.S. tax law, at the same time I complete the required declaration / does not qualify as a U.S. taxpayer (underline as appropriate). By submitting this declaration, I agree to promptly notify the Company in writing of any change

in the U.S. tax status of the legal entity I represent. I acknowledge that under the said law, the Company is obliged to examine the FATCA status of the clients, and to transfer the data of clients qualified as U.S. taxpayers to the local tax authority (in order to comply with the reporting obligation to the U.S. tax authority);

- Pursuant to Act XXXVII of 2013 on the introduction of CRS regulations, I declare that, the legal entity I represent, with the exception of Hungary, is not resident for tax purposes in any of the countries that are signatories to the CRS Convention / is resident for tax purposes in the following CRS country (countries) (underline as appropriate).

CRS tax country:	
Tax code/tax number:	
CRS tax country:	
Tax code/tax number:	
CRS tax country:	
Tax code/tax number:	

By submitting this declaration, I agree to promptly notify the Company in writing of any change in the CRS tax status of the legal entity I represent. Being fully aware of my criminal liability, I declare that the official documents proving my tax residence presented at the same time as my declaration are authentic and may be used as documentary evidence required by law. I acknowledge that pursuant to the effective Act XXXVII of 2013 on Certain Rules of International Administrative Co-operation in relation to Taxes and Other Public Charges (Aktv. or IAC Act), the Company is obliged to examine the tax residence (international tax status) of its clients and to transmit the data of clients classifying as non-resident taxpayers affected by the former legislation who are subject to CRS international taxation to the local tax authority in order to fulfil the reporting obligation to the tax authority of the country concerned.

- **I also declare that**
 - “ **I Consent;**
 - “ **I Do Not Consent**

that my reference data (my personal identification data and contractual details of my loan agreements) transferred to the central credit information system under CCIS Act by IBCE will be available for another reference data provider in accordance with § 5(3) and paragraph 7(a) of that Act.

I acknowledge that giving this consent, my reference data of all my contracts reported to CCIS will be accessible thus as a consequence the reference data of my contract(s) granting loans, credits, financial lease, etc. will be available (transferable) to an other reference data provider.

- I also declare with my signature that the Company has provided clear and detailed information regarding the handling of my data on the customer data sheet, which also covered the provisions of the Privacy Notice and I got to know the data protection and data processing chapter of the General Business Rules. I declare that I expressly accept and confirm the contents thereof, and I also grant the Company the authorisations specified in the referenced chapter of the General Business Rules and the Privacy Notice (available at the www.interactivebrokers.hu website, and the Company’s Customer Service).

- I acknowledge that I may request information on data processing in accordance with the provisions of the Privacy Notice, and I may initiate the correction or modification of my data, or the deletion of data processing with the exception of mandatory data processing, the blocking of data processing, and I may object to data processing in cases provided by law; I may submit a complaint regarding the handling of my data in accordance with the provisions of the Company's Complaint Handling Policy, or to the Company's data protection officer, to the Hungarian National Authority for Data Protection and Freedom of Information (1125 Budapest, Szilágyi Erzsébet fasor 22/c., www.naih.hu), or I can go to court.
- By signing these declarations, I declare that I have given my above authorizations voluntarily, in possession of proper information, and I acknowledge that my data will be processed in accordance with the provisions of the Privacy Notice.

Budapest,.....day month year

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Interactive Brokers Central Europe Zrt.

<Client's

signature> administrator's signature

The document was signed by the client in my physical presence.

Definitions:

¹ We would like to inform you that the Company follows a different investor protection procedure in case of different customer categories (retail, professional, eligible counterparty), taking into account the relevant legal regulations. Retail clients are entitled to the highest level of investor protection and eligible counterparties to the lowest. You may request in writing any change in the category in any direction, but this may result in a reduction in your investor protection rights. Further information (e.g. the basis for the rating, the conditions and consequences of the change) can be found in the Company's General Business Rules, which are available on the Company's website and will be provided upon request.

² According to Act LIII of 2017, the following are considered to be politically exposed persons:

4(1) For the purposes of this Act, "politically exposed person" shall mean a natural person who is entrusted with prominent public functions, or who has been entrusted with prominent public functions within one year before the implementation of customer due diligence measures.

(2) For the purposes of Subsection (1), "natural person who has been entrusted with prominent public functions" shall include:

a) heads of state, heads of government, ministers and deputy ministers, state secretaries, in Hungary the head of state, the prime minister, ministers and state secretaries;

b) members of Parliament or of similar legislative bodies, in Hungary members of Parliament and spokesmen for the nationality;

c) members of the governing bodies of political parties, in Hungary members and officers of the governing bodies of political parties;

d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, in Hungary members of the Constitutional Court, of the courts of appeal and the Curia;

- e) members of courts of auditors or of the boards of central banks, in Hungary the President and Vice-President of the State Audit Office, members of the Monetary Council and the Financial Stability Board;
- f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces, in Hungary the head of the central body of law enforcement bodies and organisations and his deputy, Chief of Staff of the Hungarian Army and Deputy Chiefs of Staff of the Hungarian Army;
- g) members of the administrative, management or supervisory bodies of enterprises with majority state ownership, in Hungary the managing directors of enterprises with majority state ownership, members of the management body exercising control or supervisory rights of such enterprises;
- h) directors, deputy directors and members of the board or equivalent function of an international organisation.

(3) For the purposes of this Act, "close relatives of politically exposed person" shall include the spouse or registered partner of a politically exposed person; the biological and adopted children, stepchildren and foster children, and their spouses or registered partners, of a politically exposed person; the biological, adoptive, step- and foster parents of a politically exposed person.

(4) For the purposes of this Act, persons known to be close associates of politically exposed persons shall include:

- a) any natural person who is known to have joint beneficial ownership of legal entities or unincorporated organisations, or any other close business relations, with a person referred to in Subsection (2);
- b) any natural person who has sole beneficial ownership of a legal entity or unincorporated organisation which is known to have been set up for the benefit of a person referred to in Subsection (2).

(5) The provisions of this act relating to politically exposed persons shall also apply to close relatives or persons known to be close associates of politically exposed persons.

³⁻⁴ **Section 8:1 of Act V of 2013 on the Civil Code**

Close relatives shall mean spouses, next of kin, adopted children, stepchildren, foster children, adoptive parents, stepparents, foster parents, and siblings; furthermore, close relative shall mean registered partners, spouses of the next of kin, spouse's next of kin and siblings, and spouses of siblings;

1.3. authorised related persons

IDENTIFICATION FORM Details of a natural person proxy as a related person	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	

Place of residence:	
Place of birth (country, town):	
Date of birth:	
Mother's maiden first and family name:	
Number and type of identification documents, issuing country:	
Number, type of document certifying the address, issuing country:	
Tax identification code:	
Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship:	
Effect of the relationship:	

I, the Undersigned, (ID number), being aware of my criminal liability

declare and prove with my signature that

- the above data and statements are true, I am aware that I am obliged to notify the Company of any change in the data during the existence of the business relationship in accordance with Act LIII of 2017 on the Prevention and Combatting of Money Laundering and Terrorist Financing (hereinafter referred to as Pmt. or AML Act), within 5 working days of the data change and becoming aware of it;
- I have voluntarily submitted to client identification by Interactive Brokers Central Europe Zrt. for the purpose of having the right to dispose of the above-mentioned client's account with a client account with the Company; / I have voluntarily submitted to customer identification by Interactive Brokers Central Europe Zrt. in order to verify the accuracy of my data recorded with the Company and to reflect any changes in my data;
- I have been informed, understand and accept that my authorisation from a client of Interactive Brokers Central Europe Zrt. does not cover activities that can be carried out through electronic trading systems, to use the electronic system and the [■] device or [■] application of the Principal;
- I have been informed, understand and agree that the policies, agreements and information of Interactive Brokers Central Europe Zrt. are available on the Company's website;
- I also declare with my signature that the Company has provided clear and detailed information regarding the handling of my data on the customer data sheet, which also covered and included the provisions of the Privacy Notice and the data protection and data processing chapter of the General Business Rules. I declare that I expressly accept and confirm the contents thereof, and I also grant the Company the authorisations specified in the referenced chapter of the General Business Rules and the authorizations set forth in the Privacy Notice (available at www.interactivebrokers.hu and the Company's Customer Service).
- I acknowledge that I may request information on data processing in accordance with the provisions of the Privacy Notice, and I may initiate the correction or modification of my data, or the deletion of data processing with the exception of mandatory data processing, the blocking of data processing, and I may object to data processing in cases provided by law; I may submit a complaint regarding the handling of my data in accordance with the provisions of the Company's Complaint Handling Policy, or to the Company's data protection officer, to the Hungarian National Authority for Data Protection and Freedom of Information (1125 Budapest, Szilágyi Erzsébet fasor 22/c., www.naih.hu), or I can go to court.

- By signing these declarations, I declare that I have given my above authorizations voluntarily, in possession of proper information, and I acknowledge that my data will be processed in accordance with the provisions of the Privacy Notice.

Budapest,.....day month year

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**Signature of the administrator of
Interactive Brokers Central
Europe Zrt.**

<Proxy's signature

**The document was signed by the client in
my personal presence.**

1.4. Related persons authorised for representation

IDENTIFICATION FORM Details of a natural person representative as a related person	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Place of birth (country, town):	
Date of birth:	
Mother's maiden name	
Number, type of identification documents, issuing country	
Number, type of document certifying the address, issuing country	
Tax identification code:	
Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship:	
Effect of the relationship:	

I, the Undersigned, (ID number), being aware of my criminal liability

declare and prove with my signature that

- the above data and statements are true, I am aware that I am obliged to notify the Company of any change in the data during the existence of the business relationship in accordance with Act LIII of 2017 on the Prevention and Combatting of Money Laundering and Terrorist Financing (hereinafter referred to as Pmt. or AML Act), within 5 working days of the data change and becoming aware of it;
- I have voluntarily submitted to client identification by Interactive Brokers Central Europe Zrt. for the purpose of concluding contracts with the Company on behalf of and for the benefit of the aforementioned client for the use of investment and ancillary investment services;
- I have been informed, understand and agree that the policies, agreements and information of Interactive Brokers Central Europe Zrt. are available on the Company's website;
- I also declare with my signature that the Company has provided clear and detailed information regarding the handling of my data on the customer data sheet, which also covered the provisions of the Privacy Notice and the data protection and data processing chapter of the General Business Rules. I declare that I expressly accept and confirm the contents thereof, and I also grant the Company the authorisations specified in the referenced chapter of the General Business Rules and the authorisations set forth in the Privacy Notice (available at the www.interactivebrokers.hu website and the Company's Customer Service).
- I acknowledge that I may request information on data processing in accordance with the provisions of the Privacy Notice, and I may initiate the correction or modification of my data, or the deletion of data processing with

the exception of mandatory data processing, the blocking of data processing, and I may object to data processing in cases provided by law; I may submit a complaint regarding the handling of my data in accordance with the provisions of the Company's Complaint Handling Policy, or to the Company's data protection officer, to the National Authority for Data Protection and Freedom of Information (1125 Budapest, Szilágyi Erzsébet fasor 22/c., www.naih.hu), or I can go to court.

- By signing these declarations, I declare that I have given my above authorizations voluntarily, in possession of proper information, and I acknowledge that my data will be processed in accordance with the provisions of the Privacy Notice.

Budapest,.....day month year

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Interactive Brokers Central Europe Zrt.

<Representative's

signature> administrator's signature

The document was signed by the client in my personal presence.

1.5. Beneficial owner related persons (in case of personal identification)

IDENTIFICATION FORM Details of natural person beneficial owner as related person (in case of identification in person)	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Place of birth (country, town):	
Date of birth:	
Tax identification code:	

Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship and	
Nature of ownership interest:	
Ratio of ownership interest:	

I, the Undersigned, (ID number), being aware of my criminal liability

declare and prove with my signature that

- the above data and statements are true, I am aware that I am obliged to notify the Company of any change in the data during the existence of the business relationship in accordance with Act LIII of 2017 on the Prevention and Combatting of Money Laundering and Terrorist Financing (hereinafter referred to as Pmt. or AML Act), within 5 working days of the data change and becoming aware of it;
- I am aware that, in accordance with the provisions of the AML Act, I have been designated as the beneficial owner for whose benefit and for whom the services provided by the Company are used. I voluntarily submitted to my identity verification performed by the Company;
- I have been informed, understand and agree that the policies, agreements and information of Interactive Brokers Central Europe Zrt. are available on the Company's website;
- I declare that pursuant to the provisions of Section 4(1)-(5) of the AML Act¹
 - I am not a politically exposed person (PEP);
 - I am a PEP;

My status as a PEP:

Information on the source of funds:

I am a close relative or close associate of a PEP². Designation of the PEP

status:

Degree of kinship / Nature of

relationship:

- I declare that I qualify as a U.S. taxpayer, at the same time I complete the required declaration; / I do not qualify as a U.S. taxpayer (underline as appropriate). By submitting this declaration, I agree to promptly notify the Interactive Brokers Central Europe Zrt. in writing of any change in my U.S. tax status. I acknowledge that under the said law, the Company is obliged to examine the FATCA status of the clients, and to transfer the data of clients qualified as U.S. taxpayers to the local tax authority (in order to comply with the reporting obligation to the U.S. tax authority);
- Pursuant to Act XXXVII of 2013 on the introduction of CRS regulations, I declare that, with the exception of Hungary, I am not a tax resident in any of the countries that are signatories to the CRS Convention / I am a tax resident in the following CRS country (countries) (underline as appropriate)

CRS tax country:	
Tax code:	
CRS tax country:	

Tax code:	
CRS tax country:	
Tax code:	

By submitting this declaration, I agree to promptly notify the Interactive Brokers Central Europe Zrt. in writing of any change in my CRS tax status. Being fully aware of my criminal liability, I declare that the official documents presented at the same time as my declaration are authentic and may be used as documentary evidence required by law. I consent to the copying of the documents presented. I acknowledge that pursuant to the effective Act XXXVII of 2013 on Certain Rules of International Administrative Co-operation in relation to Taxes and Other Public Charges (Aktv. or IAC Act), the Company is obliged to examine the tax residence (international tax status) of its clients and to transmit the data of clients classifying as non-resident taxpayers affected by the former legislation who are subject to CRS international taxation to the local tax authority in order to fulfil the reporting obligation to the tax authority of the country concerned.

■ **I also declare that**

“ **I Consent;**

“ **I Do Not Consent**

that my reference data (my personal identification data and contractual details of my loan agreements) transferred to the central credit information system under CCIS Act by IBCE will be available for another reference data provider in accordance with § 5(3) and paragraph 7(a) of that Act.

I acknowledge that giving this consent, my reference data of all my contracts reported to CCIS will be accessible thus as a consequence the reference data of my contract(s) granting loans, credits, financial lease, etc. will be available (transferable) to an other reference data provider.

■

- I also declare with my signature that the Company has provided clear and detailed information regarding the handling of my data on the customer data sheet, which also covered the provisions of the Privacy Notice and I got to know the data protection and data processing chapter of the General Business Rules. I declare that I expressly accept and confirm the contents thereof, and I also grant the Company the authorisations specified in the referenced chapter of the General Business Rules and the authorizations set forth in the Privacy Notice (available at the www.interactivebrokers.hu website and the Company’s Customer Service).
- I acknowledge that I may request information on data processing in accordance with the provisions of the Privacy Notice, and I may initiate the

correction or modification of my data, or the deletion of data processing with the exception of mandatory data processing, the blocking of data processing, and I may object to data processing. I may submit a complaint regarding the handling of my data in accordance with the provisions of the Company’s Complaint Handling Policy, or to the Company’s data protection officer, to the National Authority for Data Protection and Freedom of Information (1125 Budapest, Szilágyi Erzsébet fasor 22/c., www.naih.hu), or I can go to court.

- By signing these declarations, I declare that I have given my above authorizations voluntarily, in possession of proper information, and I acknowledge that my data will be processed in accordance with the provisions of the Privacy Notice.

Budapest,.....day month year

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**Signature of Interactive Brokers Central
Europe Zrt.'s administrator**

<Beneficial owner's signature>

The document was signed by the client
in my personal presence.

Definitions:

1 According to Act LIII of 2017, the following are considered to be politically exposed persons:

4(1) For the purposes of this Act, “politically exposed person” shall mean a natural person who is entrusted with prominent public functions, or who has been entrusted with prominent public functions within one year before the implementation of customer due diligence measures.

(2) For the purposes of Subsection (1), “natural person who has been entrusted with prominent public functions” shall include:

a) heads of state, heads of government, ministers and deputy ministers, state secretaries, in Hungary the head of state, the prime minister, ministers and state secretaries;

b) members of Parliament or of similar legislative bodies, in Hungary members of Parliament and spokesmen for the nationality;

c) members of the governing bodies of political parties, in Hungary members and officers of the governing bodies of political parties;

d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, in Hungary members of the

Constitutional Court, of the courts of appeal and the Curia;

e) members of courts of auditors or of the boards of central banks, in Hungary the President and

Vice-President of the State Audit Office, members of the Monetary Council and the Financial Stability Board;

f) ambassadors, chargés d’affaires and high-ranking officers in the armed forces, in Hungary the head of the central body of law enforcement bodies and organisations and his deputy,

Chief of Staff of the Hungarian Army and Deputy Chiefs of Staff of the Hungarian Army;

g) members of the administrative, management or supervisory bodies of enterprises with majority state ownership,

in Hungary the managing directors of enterprises with majority state ownership, members of the management body exercising control or supervisory rights of such enterprises;

h) directors, deputy directors and members of the board or equivalent function of an international organisation.

(3) For the purposes of this Act “close relative of a politically exposed person” shall include the spouse or registered partner of a politically exposed person; the biological and adopted children, stepchildren and foster children

and their spouses or registered partners, of a politically exposed person; the biological, adoptive, step- and foster parents of a politically exposed person.

(4) For the purposes of this Act, persons known to be close associates of politically exposed persons shall include:

a) any natural person who is known to have joint beneficial ownership of legal entities or unincorporated organisations, or any other close business relations, with a person referred to in Subsection (2);

b) any natural person who has sole beneficial ownership of a legal entity or unincorporated organisation which is known to have been set up for the benefit of a person referred to in Subsection (2).

(5)The provisions of this act relating to politically exposed persons shall also apply to close relatives or persons known to be close associates of politically exposed persons.

2 Section 8:1 of Act V of 2013 on the Civil Code

Close relatives shall mean spouses, next of kin, adopted children, stepchildren, foster children, adoptive parents, stepparents, foster parents, and siblings; furthermore, relative shall mean registered partners, spouses of the next of kin, spouse’s next of kin and siblings, and spouses of siblings;

1.6. Beneficial owner related persons (in case of returned by post)

IDENTIFICATION FORM Details of natural person beneficial owner as related person (returned by post)	
First name and family name, prefix:	

First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Place of birth (country, town):	
Date of birth:	
Tax identification code:	
Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship:	
Nature of ownership interest:	
Ratio of ownership interest:	

I, the Undersigned, (ID number), being aware of my criminal liability

declare and prove with my signature that

- the above data and statements are true, I am aware that I am obliged to notify the Company of any change in the data during the existence of the business relationship in accordance with Act LIII of 2017 on the Prevention and Combatting of Money Laundering and Terrorist Financing (hereinafter referred to as Pmt. or AML Act), within 5 working days of the data change and becoming aware of it;
- I am aware that, in accordance with the provisions of the AML Act, I have been designated as the beneficial owner for whose benefit and for whom the services provided by the Company are used. I voluntarily submitted to my identity verification performed by the Company;
- I have been informed, understand and agree that the policies, agreements and information of Interactive Brokers Central Europe Zrt. are available on the Company's website;
- I declare that pursuant to the provisions of Section 4(1)-(5) of the AML Act¹
 - I am not a politically exposed person (PEP);
 - I am a PEP;

My status as a PEP:

Information on the source of funds:

I am a close relative or close associate of a PEP².

Definition of the PEP status:

Degree of kinship / Nature of

relationship:

- I declare that I qualify as a U.S. taxpayer, at the same time I complete the required declaration; / I do not qualify as a U.S. taxpayer (underline as appropriate). By submitting this declaration, I agree to promptly notify the Interactive Brokers Central Europe Zrt. in writing of any change in my U.S. tax status. I acknowledge that under the said law, the Company is obliged to examine the FATCA status of the clients, and to transfer the data of clients qualified as U.S. taxpayers to the local tax authority (in order to comply with the reporting obligation to the U.S. tax authority);

- Pursuant to Act XXXVII of 2013 on the introduction of CRS regulations, I declare that, with the exception of Hungary, I am not a tax resident in any of the countries that are signatories to the CRS Convention / I am a tax resident in the following CRS country (countries) (underline as appropriate)

CRS tax country:	
Tax code:	
CRS tax country:	
Tax code:	
CRS tax country:	
Tax code:	

By submitting this declaration, I agree to promptly notify the Interactive Brokers Central Europe Zrt. in writing of any change in my CRS tax status. I declare, in full awareness of my criminal liability, that the official documents attached to my declaration are authentic and may be used as documentary evidence determined pursuant to Act XXXVII of 2013. I acknowledge that pursuant to the effective Act XXXVII of 2013 on Certain Rules of International Administrative Co-operation in relation to Taxes and Other Public Charges (Aktv. or IAC Act), the Company is obliged to examine the tax residence (international tax status) of its clients and to transmit the data of clients classifying as non-resident taxpayers affected by the former legislation and who are subject to CRS international taxation to the local tax authority in order to fulfil the reporting obligation to the tax authority of the country concerned.

- **I also declare that**

- “ I Consent;

- “ I Do Not Consent

that my reference data (my personal identification data and contractual details of my loan agreements) transferred to the central credit information system under CCIS Act by IBCE will be available for another reference data provider in accordance with § 5(3) and paragraph 7(a) of that Act.

I acknowledge that giving this consent, my reference data of all my contracts reported to CCIS will be accessible thus as a consequence the reference data of my contract(s) granting loans, credits, financial lease, etc. will be available (transferable) to an other reference data provider.

-

- I also declare with my signature that the Company has provided clear and detailed information regarding the handling of my data on the client data sheet, which also covered the provisions of the Privacy Notice and the data protection and data processing chapter of the General Business Rules. I declare that I expressly accept and confirm the contents thereof, and I also grant the Company the authorisations specified in the referenced chapter of the General Business Rules and the authorisations set forth in the Privacy Notice (available at the www.interactivebrokers.hu website and the Company's Customer Service).

- I acknowledge that I may request information on data processing in accordance with the provisions of the Privacy Notice, and I may initiate the correction or modification of my data, or the deletion of data processing with the exception of mandatory data processing, the blocking of data processing, and I may object to data processing

in cases provided by law; I may submit a complaint regarding the handling of my data in accordance with the provisions of the Company's Complaint Handling Policy, or to the Company's data protection officer, to the National Authority for Data Protection and Freedom of Information (1125 Budapest, Szilágyi Erzsébet fasor 22/c., www.naih.hu), or I can go to court.

- By signing these declarations, I declare that I have given my above authorisations voluntarily, in possession of proper information, and I acknowledge that my data will be processed in accordance with the provisions of the Privacy Notice.

Budapest,.....day
..... month year

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<Beneficial owner's signature>

Witnesses:

Name:

Address:

Signature: _

Name:

Address:

Signature: _

Definitions:

1 According to Act LIII of 2017, the following are considered to be politically exposed persons:

4(1) For the purposes of this Act, “politically exposed person” shall mean a natural person who is entrusted with prominent public functions, or who has been entrusted with prominent public functions within one year before the implementation of customer due diligence measures.

(2) For the purposes of Subsection (1), “natural person who has been entrusted with prominent public functions” shall include:

a) heads of state, heads of government, ministers and deputy ministers, state secretaries, in Hungary the head of state, the prime minister, ministers and state secretaries;

b) members of Parliament or of similar legislative bodies, in Hungary members of Parliament and spokesmen for the nationality;

c) members of the governing bodies of political parties, in Hungary members and officers of the governing bodies of political parties;

d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, in Hungary members of the

Constitutional Court, of the courts of appeal and the Curia;

e) members of courts of auditors or of the boards of central banks, in Hungary the President and

Vice-President of the State Audit Office, members of the Monetary Council and the Financial Stability Board;

f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces, in Hungary the head of the central body of law enforcement bodies and organisations and his deputy,

Chief of Staff of the Hungarian Army and Deputy Chiefs of Staff of the Hungarian Army;

g) members of the administrative, management or supervisory bodies of enterprises with majority state ownership,

in Hungary the managing directors of enterprises with majority state ownership, members of the management body exercising control or supervisory rights of such enterprises;

h) directors, deputy directors and members of the board or equivalent function of an international organisation.

(3) For the purposes of this Act “close relative of a politically exposed person” shall include the spouse or registered partner of a politically exposed person; the biological and adopted children, stepchildren and foster children

and their spouses or registered partners, of a politically exposed person; the biological, adoptive, step- and foster parents of a politically exposed person.

(4) For the purposes of this Act, persons known to be close associates of politically exposed persons shall include:

a) any natural person who is known to have joint beneficial ownership of legal entities or unincorporated organisations, or any other close business relations, with a person referred to in Subsection (2);

b) any natural person who has sole beneficial ownership of a legal entity or unincorporated organisation which is known to have been set up for the benefit of a person referred to in Subsection (2).

(5)The provisions of this act relating to politically exposed persons shall also apply to close relatives or persons known to be close associates of politically exposed persons.

2 Section 8:1 of Act V of 2013 on the Civil Code

Close relatives shall mean spouses, next of kin, adopted children, stepchildren, foster children, adoptive parents, stepparents, foster parents, and siblings; furthermore, relative shall mean registered partners, spouses of the next of kin, spouse's next of kin and siblings, and spouses of siblings.

Annex No. 2 Information on the form of notifications

1. INFORMATION ON THE FORM OF NOTIFICATIONS

1. Notification data

Notification address of the Company:	Interactive Brokers Central Europe Zrt. address: 1075 Budapest, Madách Imre út 13-14. Phone: [■], telefax: [■] e-mail address: [■]
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Client's notification address:	Name: Address: Phone number: Mobile phone: E-mail address:
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The settings in this information can be changed at any time in the IB Account Interface.

The Parties may submit their legal notices to the notification address provided in this Annex. In case there is any change in the notification address, the Parties shall notify each other. If they fail to do so, legal declarations sent to the notification address electronically or by post shall be deemed to have been delivered regardless of the fact of acceptance.

2. Notification on transactions executed on the Account

The Company sends an official certificate of completion (together: notification) on the transactions executed on the Account by the Client on the IB Account Interface.

The Company also sends a certificate of completion for all executed transactions on the IB Account Interface.

The Company shall send the notifications immediately after the execution of the order, but no later than on the trading day following the execution date of the order or, if the order was executed by the investment firm with the assistance of a third party, on the working day following receipt of the third party's certificate.

The Company's clients are obliged to have a valid, available e-mail address notified to the Company (such e-mail address must be provided to the Company as a notification address) to which the notifications may be sent by the Company. The Client hereby expressly acknowledges that in the absence of a valid, available e-mail address, the Client's right to trade may be restricted by the Company at any time.

3. Confirmation on transactions executed on the Account

At the end of each day, the Company makes available a confirmation of the securities and cash transactions performed on the account on the IB Account Interface (hereinafter referred to as **confirmation**), the uploading of which the Clients are informed of in a system message.

Confirmations may be requested by post, a separate fee of which is included in the Terms and Conditions.

4. Monthly Report

Pursuant to Section 69/A of the Investment Firms Act, the Company prepares a monthly report for its clients on the balance of financial assets and funds owned or due to the Client, the part of the balance that has been the subject of a securities financing transaction and the result, in addition to the basis for calculating the result, that it has realized on the financial asset or cash that is the subject of the securities financing transaction (hereinafter referred to as **Monthly Report**). In each case, the Monthly Report also contains the password required to access the <https://eszlaweb.mnb.hu/Lekerdezo> website operated by the MNB.

The Monthly Report is made available by the Company to its clients on the IB Account Interface.

The Monthly Report may be requested by post, as a standard consignment, to the notification address provided by the Client, the separate fee of which is included in the Terms and Conditions.

The Monthly Report also serves as a monthly statement.

5. Account statement

The Company makes available to its Clients annually, on the account, a statement containing the credits and debits of money, securities and financial assets and the balance at the end of the reporting period (hereinafter referred to as **Account Statement**) on the IB Account Interface.

The Account Statements may be requested by post, as a standard consignment, to the notification address provided by the Client, the separate fee of which is included in the Terms and Conditions.

For a separate fee, the client may request the sending of a quarterly statement or a statement summarizing another specific period, the fee of which is also included in the Terms and Conditions.

6. The general way of communication between the Client and the Company

By signing this information, the Client chooses to communicate electronically between the Company and the Client as a general method of communication, which contact method they may change unilaterally at any time via the IB Account Interface or personally at the Customer Service.

7. Miscellaneous provisions

The Company informs the Client that a specific account statement deviating from the above provision will be issued by the Company for a separate fee specified in the Terms and Conditions.

The Company informs the Client that all liability arising from the sending of consignments electronically (by e-mail) or by post shall be borne by the Client, unless the damage or error is due to the conduct of the Company.

The Company also informs the Client that if they provide an e-mail address to which the e-mails sent by the Company's computer system do not arrive due to any kind of error between the systems, the Company will not be liable.

The Client declares that they have read this information and accept its contents. Budapest,.....day month ..
..... year

.....
Interactive Brokers Central Europe Zrt.

.....
Client

Annex No. 3 Preliminary information

The Preliminary Information is available on the following interface of the Company's website: •

Annex No. 4 MiFID declaration of consent Name:

Address:

Client ID:

By signing this declaration, I declare and consider the following to be binding on me, which also form part of the Company's terms of service:

1. I have been informed of and accept the Company's Execution and Allocation Policy for client orders. I am also aware of the contents of the Preliminary Information and expressly accept its contents. I further agree that the provisions of the General Business Rules shall govern the amendment and acceptance of these documents.
2. I consent to the Company being able to execute my orders outside the trading venue in accordance with its current Execution and Allocation Policy.
3. I agree that if I place a limit price order with the Company in respect of shares traded on a regulated market and this order will not be executed immediately due to applicable market conditions, then the Company may, in its sole discretion, decide whether to publish the order in a manner that is readily available to other market participants.
4. I expressly consent to the Company providing me with the information addressed to me on other durable media instead of on paper, in particular by e-mail, via the IB Account Interface or by means of a recorded telephone call in the awareness that it would otherwise be possible to opt for paper-based information. My consent also extends to the Company sending me the Key Information Document (KID) on a durable medium.
5. I expressly consent to the Company fulfilling the information and providing the, through the website www.interactivebrokers.hu for which this is permitted by law and which information notice (if required) should be sent to my e-mail address provided to the Company. This declaration also covers the fact that the Company informs about the Key Information Documents (KID) through its website, as well as about the Company's investment services and ancillary services, and other relevant information on specific financial asset (via Product Catalogue, Product Sheets or other documents).
6. Aware that I may defer the conclusion of a given transaction, I expressly consent to the Company forwarding to me the key information documents (KID) for the given product only after the conclusion of the transaction, if the order is made by means of a means of telecommunication (e.g. a recorded telephone call).
7. I expressly consent to the Company providing information to me that is not available to the Company in Hungarian [e.g. key information document (KID),

issuer information, etc.] in a foreign language available to the Company other than Hungarian only.

Budapest,.....day month year

.....
<Client's signature>

Information on declarations of consent

Please give your declaration of consent after careful consideration of the information below. This declaration is voluntary, however, please note that the provision of consents is also part of the Company's terms of service.

Execution and Allocation Policy

The Company shall specify in its Execution and Allocation Policy the aspects by which it ensures the enforcement of the principle of the most favourable execution and the execution venues where the Company executes its orders with the

enforcement of this principle in mind. This execution policy is annexed to the General Business Rules.

Under our Execution and Allocation Policy, we make all products available only in the market where our company can provide the best market execution based on our preliminary investigations. The effective General Business Rules and the Execution and Allocation Policy annexed to them are available on the Company's website at www.interactivebrokers.hu.

Execution of client orders outside the trading venue

The Company's General Business Rules and Best Execution and Allocation Policy currently include the possibility to execute an order for an asset listed on a trading venue (e.g. stock exchange, MTF) outside these venues in the framework of own account trading. The risks of this are included in the Execution and Allocation Policy. Pursuant to the regulations, you give your express consent or confirm your previous declaration that the Company may execute its orders outside the trading venue (thus outside the regulated market, MTF and OTF) in accordance with the Execution and Allocation Policy.

Limit price orders

Your consent is required if a limit price order for shares traded on a regulated market is not executed immediately, then the Company may, in its sole discretion, decide whether to publish the order so that it is easily accessible to other market participants.

Client information

The regulation provides the Company with an opportunity to send the information meant to be sent to you not only on paper, but also on a so-called other durable medium (e.g. by e-mail, via the IB Account Interface), if this is right for you, knowing that you also have the option to choose paper-based information. However, this requires your consent.

Some information can also be provided on the Company's website at www.interactivebrokers.hu. Our company intends to continue this practice, which requires your consent.

We would like to inform you that this consent is also important because, among the information available on the website, also under the new legal requirements (PRIIPS, EU Regulation

on key information documents for packaged retail and insurance-based investment products), from 2018, the Key Information Documents (KIDs) will be published, containing the most important information about the device for each product specified in the legislation.

On the website, our company also provides you with information on the preliminary, general and informative calculation of the fees and costs incurred for each product, based on the requirements of the MiFID II regulation.

Acceptance of a key information document (KID) after a transaction

As part of the client information, as just mentioned, the Company will be required to provide you with Key Information Documents (KIDs) from 2018 for certain products. As a general rule, this would take place before the transaction is concluded. However, in the event that it is not possible to provide a key information document (KID) via a connection provided by means of a telecommunications device, such as recorded telephone calls, you may consent in advance to the Company transmitting this document to you after the conclusion of the specific transaction only instead of delaying the specific transaction in order to obtain the key information documents before the transaction is concluded.

Of course, making this declaration does not mean that, in the case of a specific transaction, you cannot ask to receive and read the key information document before concluding the specific transaction.

Language usage

As a general rule, the Company strives to make the individual information materials available to its clients in Hungarian. However, some documents—typically for instruments that are not issued, manufactured or distributed in Hungary—may only be available in other, foreign languages and a Hungarian translation is not available. In this case, your consent is required for the sale in order for the individual documents not to be available to you in Hungarian.

Please consider our information above carefully and make a declaration accordingly. If you need more information for your considered decision, please contact our Company at the contact details below, where our staff is at your disposal.

Interactive Brokers Central Europe Zrt.

Annex No. 5 MiFID test

SUITABILITY TEST

For private individuals,

legal entities, and unincorporated organisations

We draw the attention of our Esteemed Client that the completion of the following questionnaire is required by Section 45 of Act CXXXVIII of 2007 on Investment Firms and Commodity Dealers, and on the Regulations Governing their Activities, in accordance with the detailed rules laid down in Articles 55-56 of Commission Delegated Regulation (EU) 2017/565.

The purpose of the questionnaire is for the Company to assess your financial knowledge. You can request a re-completion of the questionnaire at any time in the future, if you consider that there is any change in your financial knowledge that may affect the outcome of the completion of the questionnaire.

We would like to inform you that when completing the test, the Company's information system performs an automatic inconsistency check and draws your attention to the detected inconsistencies in all cases.

Please answer all test questions if you do not provide sufficient information to obtain the "appropriate" rating, the Company reserves the right to refuse to enter into a contract with you.

Please also mark the correct answer for each question according to the best of your knowledge, experience and circumstances.

Please note that after evaluating the test, if for any reason you do not agree, you have the right to initiate your reclassification to a lower category in writing.